

## CHARACTERIZATION OF THE LIMIT LOAD IN THE CASE OF AN UNBOUNDED ELASTIC CONVEX

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**Abstract.** In this work we consider a solid body  $\Omega \subset \mathbb{R}^3$  constituted by a nonhomogeneous elastoplastic material, submitted to a density of body forces  $\lambda f$  and a density of forces  $\lambda g$  acting on the boundary where the real  $\lambda$  is the loading parameter. The problem is to determine, in the case of an unbounded convex of elasticity, the Limit load denoted by  $\bar{\lambda}$  beyond which there is a break of the structure. The case of a bounded convex of elasticity is done in [El-Fekih and Hadhri, *RAIRO: Modél. Math. Anal. Numér.* **29** (1995) 391–419]. Then assuming that the convex of elasticity at the point  $x$  of  $\Omega$ , denoted by  $K(x)$ , is written in the form of  $K^D(x) + \mathbb{R}I$ ,  $I$  is the identity of  $\mathbb{R}_{sym}^9$ , and the deviatoric component  $K^D$  is bounded regardless of  $x \in \Omega$ , we show under the condition “Rot  $f \neq 0$  or  $g$  is not colinear to the normal on a part of the boundary of  $\Omega$ ”, that the Limit Load  $\bar{\lambda}$  searched is equal to the inverse of the infimum of the gauge of the Elastic convex translated by stress field equilibrating the unitary load corresponding to  $\lambda = 1$ ; moreover we show that this infimum is reached in a suitable function space.

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### 1. THE HENCKY’S PROBLEM FOR A NON-HOMOGENEOUS ELASTOPLASTIC STRUCTURE

Using the notations and the operators given in [5], the Hencky’s problem is given by the following system: find a tensor  $\sigma$  and a displacement  $u$  such that

$$\left\{ \begin{array}{l} \operatorname{div} \sigma = \lambda f \quad a.e. \text{ in } \Omega \\ \sigma \cdot n = \lambda g \quad \text{on } \Gamma_1 \\ u = u_0 \quad \text{on } \Gamma_0 \\ \sigma(x) = \Pi_{K(x)} \left( A_{(x)}^{-1}(\varepsilon(u)(x)) \right). \end{array} \right. \quad (1)$$

Here:

$$\varepsilon(u) = (\varepsilon_{ij}(u)) \quad \text{and} \quad \varepsilon_{ij}(u) = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \quad \text{for } 1 \leq i, j \leq 3$$

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$A_x^{-1}$  the inverse matrix of  $A_x$ ,  $A_x$  defined for  $\eta = (\eta_{ij})_{1 \leq i, j \leq 3} \in \mathbb{R}^9$  by:

$$(A_x(\eta))_{ij} = \frac{1}{9K_0(x)}\eta_{kk}(x)\delta_{ij} + \frac{1}{2\mu(x)}\eta_{ij}^D$$

$K_0(x) = \alpha(x) + \frac{2\mu(x)}{3}$  where  $\alpha$  and  $\mu$  are the Lamé coefficients.

We suppose that:

(H<sub>1</sub>)  $\Gamma_1 \cup \Gamma_0 = \partial\Omega$ : the boundary of  $\Omega$  with  $(\Gamma_1) \neq \emptyset$  and the interiors of  $\Gamma_1$  and  $\Gamma_0$  satisfy  $\Gamma_1^0 \cap \Gamma_0^0 = \emptyset$

(H<sub>2</sub>)  $K(x)$  is a closed convex part of  $\mathbb{R}_{sym}^9$  and  $\exists c > 0$  such that:

$$B(0, c) \subset K(x) \text{ a.e. in } \Omega.$$

Here:  $\mathbb{R}_{sym}^9 = \{X = (x_{11}, x_{12}, x_{13}, x_{21}, x_{22}, x_{23}, x_{31}, x_{32}, x_{33}), x_{ij} \in \mathbb{R} \text{ for } 1 \leq i \leq 3; 1 \leq j \leq 3 \text{ and } x_{ij} = x_{ji}\}$

(H<sub>3</sub>)  $g \in (L^\infty(\Gamma_1))^3, f \in (L^4(\Omega))^3$  such that:

$$\exists \tilde{g} \in (L^\infty(\partial\Omega))^3, \tilde{g} = g \text{ on } \Gamma_1 \text{ and } \int_{\Omega} f dx + \int_{\partial\Omega} \tilde{g} d\Gamma = 0$$

(H<sub>4</sub>)  $K^D(x) = K^D(x) + \mathbb{R}I$  and  $\exists M > 0$  such that:

$$K^D(x) \subset B(o, M) \text{ a.e. in } \Omega.$$

We define the following set  $K_{ad}$ :

$$K_{ad} = \{\eta \in (L^2(\Omega))_s^9 \text{ such that } \eta(x) \in K(x) \text{ a.e. in } \Omega\}. \tag{2}$$

It is clear that  $K_{ad}$  is a closed convex of  $(L^2(\Omega))_s^9$ .

We define now the Quasi-elastic problem:

Find a tensor  $\sigma^e$  and a displacement  $u^e$  satisfying:

$$\begin{cases} \operatorname{div} \sigma^e = f & \text{a.e. in } \Omega \\ \sigma^e \cdot n = \tilde{g} & \text{on } \partial\Omega \\ \sigma^e(x) = \left( A_x^{-1}(\varepsilon(u^e)(x)) \right) & \text{a.e. in } \Omega. \end{cases} \tag{3}$$

Referring to [3], the above problem has a solution  $(\sigma^e, u^e)$ , which is unique within a rigid body displacement for  $u^e$ , since  $f$  and  $g$  satisfy (H<sub>3</sub>); moreover, we have the following proposition.

**Proposition 1.** *We assume that  $f$  and  $g$  satisfy (H<sub>3</sub>), then we have:*

$$\sigma^e \in L^\infty(\Omega, \mathbb{R}_{sym}^9).$$

*Proof.* According to [3], we have  $\sigma^e \in (W^{1,4}(\Omega))^9$  and according to [1] we conclude that:  $\sigma^e \in L^\infty(\Omega, \mathbb{R}_{sym}^9)$ .  $\square$

## 2. CHARACTERIZATION OF THE LIMIT LOAD $\bar{\lambda}$

**Definition 1.** Considering the functional  $F$  defined on  $V_1$ :

$$V_1 = \{\eta \in L^2(\Omega, \mathbb{R}_{sym}^9) \text{ such that } \operatorname{div} \eta = 0 \text{ a.e. in } \Omega \text{ and } \eta \cdot n = 0 \text{ on } \Gamma_1\} \tag{4}$$

by:

$$F(\eta) = J_{K_{ad}}(\sigma^e - \eta) \tag{5}$$

where,  $J_{K_{ad}}$  is the gauge of  $K_{ad}$  defined by:

$$J_{K_{ad}}(\alpha) = \inf\{s > 0 \text{ such that } \alpha(x) \in s.K(x) \text{ a.e. in } \Omega\}. \tag{6}$$

Then we have:

**Proposition 2.** *The functional  $F$  is l.s.c (lower semicontinuous) on  $V_1$  for the weak topology of  $L^2(\Omega, \mathbb{R}_{sym}^9)$  and we have:  $F(\eta) = F(\eta^D)$ .*

*Proof.* The functional  $F$  is l.s.c for the strong topology of  $L^2(\Omega, \mathbb{R}_{sym}^9)$  and according to [2] we have  $F$  is l.s.c on  $V_1$  for the weak topology of  $L^2(\Omega, \mathbb{R}_{sym}^9)$ .

On the other hand  $K_{ad}$  is, according to  $(H_4)$ , unchanged in the direction of the spherical stress, then we have:  $F(\eta) = F(\eta^D)$ . □

**Definition 2.** The Limit Load  $\bar{\lambda}$  is defined in [5] by:

$$\bar{\lambda} = \sup\{\lambda > 0 \text{ such that } D_\lambda \neq \emptyset\} \tag{7}$$

where

$$D_\lambda = \left\{ \sigma \in L^2(\Omega, \mathbb{R}_{sym}^9) \text{ such that } \left\{ \begin{array}{l} \operatorname{div} \sigma - \lambda f = 0 \quad \text{a.e. in } \Omega \\ \sigma \cdot n = \lambda g \quad \text{on } \Gamma_1 \\ \sigma(x) \in K(x) \text{ a.e. in } \Omega \end{array} \right\} \right\}. \tag{8}$$

Then we have the following theorems:

**Theorem 1.** *Under the hypotheses  $(H_1)$ ,  $(H_2)$  and  $(H_3)$  we have:*

- (i) *If  $\inf_{\eta \in V_1} F(\eta) = 0$ , then  $\bar{\lambda} = +\infty$ .*
- (ii) *If  $\inf_{\eta \in V_1} F(\eta) \neq 0$ , then  $\bar{\lambda} = \frac{1}{\inf_{\eta \in V_1} F(\eta)}$ .*

*Proof.*

1. Proof of (i).

We assume that:

$$\inf_{\eta \in V_1} F(\eta) = 0. \tag{9}$$

Let  $\lambda > 0$ , and let us show that  $\bar{\lambda} > \lambda$ .

There exists  $\eta \in V_1$  such that  $F(\eta) < \frac{1}{\lambda}$  and according to (5) we have:

$$J_{K_{ad}}(\sigma^e - \eta) < \frac{1}{\lambda}.$$

Using (6) we obtain:

$$(\sigma^e - \eta) \in \frac{1}{\lambda} K_{ad}.$$

and then

$$\lambda(\sigma^e - \eta)(x) \in K(x) \text{ a.e. in } \Omega. \tag{10}$$

On the other hand, we have:  $\operatorname{div}(\lambda(\sigma^e - \eta)) = \lambda(\operatorname{div}\sigma^e - \operatorname{div}\eta)$ .

Using (3) and (4) we conclude:

$$\operatorname{div}(\lambda(\sigma^e - \eta)) = \lambda f \text{ in } \Omega, \tag{11}$$

and

$$\lambda(\sigma^e - \eta) \cdot n = \lambda g \text{ on } \Gamma_1. \tag{12}$$

From (10)–(12) we obtain:  $\lambda(\sigma^e - \eta) \in D_\lambda$ , and according to (7) it is clear that:

$$\bar{\lambda} > \lambda.$$

We finally conclude that:

$$\bar{\lambda} = +\infty.$$

2. Using the same idea, we prove (ii). □

We now distinguish these two cases:

**Theorem 2.** *Under the hypotheses  $(H_1), (H_2), (H_3)$  and  $(H_4)$  the following statements (i) and (ii) are equivalent:*

- (i)  $\exists \eta \in V_1$  such that  $F(\eta) = 0$ .
- (ii) The following problem  $(P_2)$  has at least one solution

$$(P_2) \begin{cases} \text{Find } \alpha \text{ in } W^{1,2}(\Omega) \text{ satisfying:} \\ \nabla \alpha = -f \quad \text{in } \Omega \\ \alpha n = -g \quad \text{on } \Gamma_1. \end{cases} \tag{13}$$

*Proof.*

1. Assume there exists  $\eta \in V_1$  such that  $F(\eta) = 0$ , then according to (5) we have

$$J_{K_{ad}}(\sigma^e - \eta) = 0, \tag{14}$$

which implies, using (6):

$$\inf\{s > 0 \text{ such that } (\sigma^e - \eta)(x) \in sK(x) \text{ a.e. in } \Omega\} = 0;$$

and using  $(H_4)$  we have:

$$\inf\{s > 0 \text{ such that } (\sigma^e - \eta)^D(x) \in sK^D(x) \text{ a.e. in } \Omega\} = 0,$$

which implies:

$$\exists s_n > 0; (s_n)_{n \in \mathbb{N}} \text{ independent of } x \text{ and such that: } \begin{cases} s_n \xrightarrow{n \rightarrow +\infty} 0 \\ \text{and} \\ (\sigma^e - \eta)^D(x) \in s_n K^D(x) \text{ a.e. in } \Omega. \end{cases}$$

Then we can write:

$$(\sigma^e)^D(x) = \eta^D(x) \text{ a.e. in } \Omega, \tag{15}$$

and then:

$$\eta(x) = (\sigma^e)^D(x) + \frac{1}{3} \text{tr} \eta I,$$

and so:

$$\text{div} \eta = \text{div}(\sigma^e)^D + \text{div} \left( \frac{1}{3} \text{tr} \eta I \right).$$

But  $\eta \in V_1$ , then  $\text{div} \eta = 0$ , which gives using (3):

$$\nabla \alpha = -f, \tag{16}$$

where  $\alpha = \frac{1}{3}(\text{tr}\eta - \text{tr}\sigma^e)$ .

It is clear that  $\alpha \in L^2(\Omega)$  and  $\nabla\alpha \in (L^2(\Omega))^3$  which implies that  $\alpha \in W^{1,2}(\Omega)$ .

On the other hand, using (4) we obtain:

$$\eta \cdot n = 0 \quad \text{on } \Gamma_1,$$

then

$$\left(\eta^D + \frac{1}{3}\text{tr}\eta I\right) \cdot n = 0 \quad \text{on } \Gamma_1,$$

which can be written using (15) as:

$$\left((\sigma^e)^D + \frac{1}{3}\text{tr}\eta I\right) \cdot n = 0 \quad \text{on } \Gamma_1$$

or

$$\left((\sigma^e) + \frac{1}{3}(\text{tr}\eta - \text{tr}\sigma^e)I\right) \cdot n = 0 \quad \text{on } \Gamma_1$$

and using (3), we have:

$$\left(\frac{1}{3}(\text{tr}\eta - \text{tr}\sigma^e)I\right) \cdot n = -g \quad \text{on } \Gamma_1,$$

that means:

$$\alpha \cdot n = -g \quad \text{on } \Gamma_1$$

and using (16) we conclude the first implication.

2. Assume now that  $(P_2)$  has at least one solution in  $W^{1,2}(\Omega)$ , then there exists  $\alpha \in W^{1,2}(\Omega)$  such that:

$$\begin{cases} \nabla\alpha = -f & \text{in } \Omega \\ \text{and} \\ \alpha \cdot n = -g & \text{on } \Gamma_1. \end{cases} \tag{17}$$

Then, let

$$\eta = \sigma^e + \alpha I. \tag{18}$$

So, we get:

$$\eta \in L^2(\Omega, \mathbb{R}_{sym}^9) \quad \text{and} \quad \text{div}\eta = \text{div}\sigma^e + \nabla\alpha. \tag{19}$$

According to (17) and (3) we obtain:

$$\text{div}\eta = 0 \quad \text{in } \Omega. \tag{20}$$

Let us show now that  $\eta \cdot n = 0$  on  $\Gamma_1$ .

Let  $\phi \in W^{1,2}(\Omega)$  be such that  $\phi/((\partial\Omega)\setminus\Gamma_1) = 0$ , we have for  $i \in \{1, 2, 3\}$

$$\int_{\Omega} \eta^i \nabla\phi dx = - \int_{\Omega} \text{div}\eta^i \phi dx + \int_{\Gamma_1} \eta^i \cdot n \cdot \phi d\Gamma$$

where  $\eta^i$  is the vector line of  $\eta$ .

Using (18) and (20) we obtain:

$$\int_{\Gamma_1} \eta^i \cdot n \cdot \phi d\Gamma = \int_{\Omega} (\sigma^e)^i \cdot \nabla\phi dx + \int_{\Omega} (\alpha \cdot I)^i \nabla\phi dx.$$

Then since  $\phi/((\partial\Omega)\setminus\Gamma_1) = 0$ ,

$$\int_{\Gamma_1} \eta^i \cdot n \cdot \phi d\Gamma = - \int_{\Omega} (\operatorname{div} \sigma^e)^i \phi dx + \int_{\Gamma_1} (\sigma^e)^i \cdot n \cdot \phi d\Gamma - \int_{\Omega} (\nabla \alpha)^i \phi dx + \int_{\Gamma_1} (\alpha \cdot n_i) \phi d\Gamma.$$

And then according to (3) and (17) we have:

$$\int_{\Gamma_1} (\eta \cdot n) \phi d\Gamma = 0. \tag{21}$$

The statements (19)–(21) prove that  $\eta \in V_1$ ; moreover, we have:

$$F(\eta) = F(\eta^D) = F((\sigma^e)^D) = F(\sigma^e) = 0.$$

Then

$$\eta \in V_1 \quad \text{and} \quad F(\eta) = 0. \quad \square$$

Moreover, we have the following theorem:

**Theorem 3.** *Under the hypotheses of Theorem 2, we have:*

$$\text{If } \operatorname{Rot} f \neq 0, \quad \text{then} \quad \inf_{\eta \in V_1} F(\eta) \neq 0.$$

*Proof.* Assume that there exists a sequence  $(\eta_n)_n \in V_1$  such that  $F(\eta_n) \xrightarrow{n \rightarrow +\infty} 0$ .

Then, according to (5) we have:

$$J_{K_{ad}}(\sigma^e - \eta_n) \xrightarrow{n \rightarrow +\infty} 0$$

and then  $\exists \alpha_n > 0$  ( $\alpha_n$  independent of  $x$ ) such that: 
$$\begin{cases} \alpha_n \xrightarrow{n \rightarrow +\infty} 0 \\ \text{and} \\ (\sigma^e - \eta_n)^D(x) \in \alpha_n K^D(x) \quad \text{a.e. in } \Omega. \end{cases}$$

This gives, according to  $(H_4)$ :

$$\eta_n^D \xrightarrow{n \rightarrow +\infty} (\sigma^e)^D \quad \text{a.e. in } \Omega$$

and

$$\eta_n^D \xrightarrow{n \rightarrow +\infty} (\sigma^e)^D \quad \text{in } D'(\Omega).$$

Then:

$$\operatorname{div} \eta_n^D \xrightarrow{n \rightarrow +\infty} \operatorname{div}(\sigma^e)^D \quad \text{in } D'(\Omega),$$

which can be written:

$$\operatorname{div} \left( \eta_n - \frac{1}{3} \operatorname{tr} \eta_n \cdot I \right) \longrightarrow [n \rightarrow +\infty] \operatorname{div}(\sigma^e)^D \quad \text{in } D'(\Omega);$$

but according to (4) we have  $\operatorname{div}(\eta_n) = 0$ , then:

$$\operatorname{div} \left( -\frac{1}{3} \operatorname{tr} \eta_n \cdot I \right) \xrightarrow{n \rightarrow +\infty} \operatorname{div}(\sigma^e)^D \quad \text{in } D'(\Omega),$$

or also

$$\nabla \left( -\frac{1}{3} (\operatorname{tr}(\eta_n - \operatorname{tr} \sigma^e)) \right) \longrightarrow [n \rightarrow +\infty] \operatorname{div}(\sigma^e) \quad \text{in } D'(\Omega),$$

which implies:

$$\text{Rot}\nabla\left(-\frac{1}{3}(\text{tr}(\eta_n - \text{tr}\sigma^e))\right) \longrightarrow [n \rightarrow +\infty]\text{Rot}(\text{div}(\sigma^e)) \quad \text{in } D'(\Omega).$$

Using  $\text{Rot}\nabla\delta = 0 \quad \forall \delta \in \text{in } D'(\Omega)$  we obtain  $\text{Rot}f = 0$ , which concludes the proof. □

**Corollary 1.** *Under the hypotheses  $(H_1), (H_2), (H_3)$  and  $(H_4)$  we have:*

$$\text{If } \text{Rot}f \neq 0, \text{ then } \bar{\lambda} = \frac{1}{\inf_{\eta \in V_1} F(\eta)}.$$

*Proof.* Results from Theorems 1 and 3. □

In Theorem 3 we have characterized the Limit load  $\bar{\lambda}$ ; and we have shown that  $\text{Rot}f \neq 0$  is a sufficient condition to prove that  $\bar{\lambda} = \frac{1}{\inf_{\eta \in V_1} F(\eta)}$ ; but this condition is not always satisfied by the volumic force  $f$ . In the case where  $\text{Rot}f = 0$ , we introduce in the following a condition on the boundary force  $g$  to show the same characterization of the Limit load.

**Theorem 4.** *Under the hypotheses  $(H_1), (H_2), (H_3), (H_4)$  and if we assume that  $\text{Rot}f \neq 0$  or  $g$  satisfies:*

$$C_g \quad : \quad \exists B \subset \Gamma_1, \text{meas}(B) \neq 0 \text{ such that } g \wedge n \neq 0 \text{ on } B \tag{22}$$

(which means that  $g$  is not colinear to the normal on  $B$ ).

Then, we have:

$$F(\eta) \neq 0 \quad \forall \eta \in V_1.$$

*Proof.* The result is deduced from Theorem 2. □

In the following part, we will prove, by adding a condition on the open set  $\Omega$ , that  $\bar{\lambda} = \frac{1}{\inf_{\eta \in V_1} F(\eta)}$  under hypothesis  $\text{Rot}f \neq 0$  or  $g$  satisfying  $C_g$  given above and that  $\inf_{\eta \in V_1} F(\eta)$  is reached on  $V_1$ .

### 3. AN EXISTENCE RESULT OBTAINED BY EXTENSION OF $\Omega$

#### 3.1. Problem obtained by extension of $\Omega$

We assume that  $\Omega$  satisfies:

$$\left\{ \begin{array}{l} \text{There exists an open set } \Omega_0 \subset \mathbb{R}^3 \text{ such that:} \\ \Omega \subset \Omega_0 \\ \Omega_0 \text{ is convex ; } \Omega_0 \setminus \Omega \text{ is connex} \\ \partial\Omega \cap (\partial(\Omega_0 \setminus \Omega)) = \Gamma_1 \\ \text{and} \\ \forall \phi \in W^{1,2}(\Omega), \exists \phi_1 \in W^{1,2}(\Omega_0 \setminus \Omega) \text{ such that: } \phi = \phi_1 \text{ on } \Gamma_1. \end{array} \right. \tag{23}$$

Let now  $(\eta_n)_n$  be a minimizing sequence of  $F(\eta)$  on  $V_1$  and let  $\tilde{\eta}_n$  be defined by:

$$\tilde{\eta}_n = \begin{cases} \eta_n & \text{in } \Omega \\ 0 & \text{in } \Omega_0 \setminus \Omega. \end{cases} \tag{24}$$

Then we have the following results:

**Lemma 1.** *We assume that (23) is satisfied, then we have:*

$$\operatorname{div} \tilde{\eta}_n = 0 \quad \text{in } \Omega_0.$$

*Proof.* Let  $\phi \in D(\Omega_0)$ , we have:

$$\int_{\Omega_0} (\operatorname{div} \tilde{\eta}_n^i) \phi dx = - \int_{\Omega_0} \tilde{\eta}_n^i \nabla \phi dx.$$

This means:

$$\int_{\Omega_0} (\operatorname{div} \tilde{\eta}_n^i) \phi dx = - \int_{\Omega} \tilde{\eta}_n^i \nabla \phi dx - \int_{\Omega_0 \setminus \Omega} \tilde{\eta}_n^i \nabla \phi dx$$

and according to (24) we obtain:

$$\int_{\Omega_0} (\operatorname{div} \tilde{\eta}_n^i) \phi dx = \int_{\Omega} \operatorname{div} \eta_n^i \phi dx - \int_{\partial\Omega} (\eta_n^i \cdot n) \phi d\Gamma.$$

But  $\phi$  belongs to  $D(\Omega_0)$  then  $\phi = 0$  on  $\partial\Omega \setminus \Gamma_1$  and  $(\eta_n)_n \in V_1$ , and we get:

$$\int_{\Omega_0} \operatorname{div} \tilde{\eta}_n^i \phi dx = 0, \text{ for all } \phi \in D(\Omega_0) \text{ and for all } 1 \leq i \leq 3,$$

which allows us to conclude:

$$\operatorname{div} \tilde{\eta}_n = 0 \quad \text{in } \Omega_0. \quad \square$$

**Remark 1.** Let  $(\eta_n)_{n \in \mathbb{N}}$  be a minimizing sequence of  $F(\eta)$  on  $V_1$ , then we have:

$$J_{K_{ad}}(\sigma^e - \eta_n) \leq \text{Const.}$$

Using Proposition 2 and the property  $(H_4)$ , we obtain:

$$\| \eta_n^D \|_{L^\infty(\Omega, \mathbb{R}^9_{sym})} \leq \text{Cte for all } n \in \mathbb{N}. \tag{25}$$

Then, let:

$$(\tilde{\eta}_n)^D = \begin{cases} \eta_n^D & \text{in } \Omega \\ 0 & \text{in } \Omega_0 \setminus \Omega. \end{cases} \tag{26}$$

We obtain:

$(\tilde{\eta}_n^D)_n$  is bounded in  $L^\infty(\Omega_0, \mathbb{R}^9_{sym})$ , then there exists a subsequence of  $(\tilde{\eta}_n^D)_n$  (denoted also  $(\tilde{\eta}_n^D)_n$ ) and there exists  $\sigma_0 \in L^2(\Omega_0, \mathbb{R}^9_{sym})$  such that:

$$(\tilde{\eta}_n^D) \longrightarrow \sigma_0 \quad \text{weakly in } L^2(\Omega_0, \mathbb{R}^9_{sym}). \tag{27}$$

**Lemma 2.** *Under the hypotheses  $(H_1)$ ,  $(H_2)$ ,  $(H_3)$ ,  $(H_4)$  and assuming that  $\Omega$  satisfies (23), we have:*

$$\operatorname{Rot}(\operatorname{div} \sigma_0) = 0 \quad \text{in } \Omega_0,$$

where  $\sigma_0$  is the element of  $L^2(\Omega_0, \mathbb{R}^9_{sym})$  given by (27).



*Proof.* Using (27) we get:

$$(\tilde{\eta}_n)^D \xrightarrow[n \rightarrow +\infty]{\sigma} \quad \text{in } D'(\Omega_0),$$

which implies:

$$\text{div}(\tilde{\eta}_n)^D \xrightarrow[n \rightarrow +\infty]{} \text{div}\sigma_0 \quad \text{in } D'(\Omega_0)$$

and

$$\text{Rot}(\text{div}(\tilde{\eta}_n)^D) \xrightarrow[n \rightarrow +\infty]{} \text{Rot}(\text{div}\sigma_0) \quad \text{in } D'(\Omega_0), \tag{28}$$

but we have:

$$\text{div}(\tilde{\eta}_n)^D = \text{div}\tilde{\eta}_n - \frac{1}{3}\nabla(\text{tr}\tilde{\eta}_n).$$

Then according to Lemma 1.1, we obtain:

$$\text{Rotdiv}(\tilde{\eta}_n)^D = -\frac{1}{3}\text{rot}\nabla(\text{tr}\tilde{\eta}_n) \quad \forall n \in \mathbb{N}.$$

Finally, we conclude from (28) that:

$$\text{Rot}(\text{div}\sigma_0) = 0 \quad \text{in } D'(\Omega_0). \quad \square$$

### 3.2. An existence result

Firstly, we begin by the following result:

**Lemma 3.** *Let  $\Omega_0$  be an open convex set of  $\mathbb{R}^n$  and let  $v \in (H^{-1}(\Omega_0))^3$  satisfying:*

$$\text{Rot } v = 0 \quad \text{in } \Omega_0.$$

*Then there exists a unique  $q \in L^2(\Omega_0)/\mathbb{R}$  such that:*

$$\nabla q = v.$$

*Proof.* See [5]. □

**Remark 2.** We remark that  $\text{div}\sigma_0$  cannot always be equal to 0 and on the other hand we search a stress  $\sigma$  which achieves  $\inf_{\eta \in V_1} F(\eta)$ , so we will change the spherical component to have a stress  $\sigma$  satisfying  $\text{div}\sigma = 0$ . That is the purpose of the following paragraph.

**Lemma 4.** *Under the hypotheses of Lemma 2 we have:*

$$\exists \sigma \in L^2(\Omega_0, \mathbb{R}_{sym}^9) \text{ such that } \begin{cases} \text{div } \sigma = 0 & \text{in } \Omega_0 \\ \sigma^D = \sigma_0^D & \text{in } \Omega_0 \\ \sigma = 0 & \text{in } \Omega_0 \setminus \Omega. \end{cases} \tag{29}$$

*Proof.* Using Lemmas 2 and 3 we get:  $\exists q \in L^2(\Omega_0)/\mathbb{R}$  such that :

$$\text{div}\sigma_0 = -\nabla q \quad \text{in } D'(\Omega_0). \tag{30}$$

And according to (24) and (27), we deduce:

$$\sigma_0 = 0 \text{ on } \Omega_0 \setminus \Omega, \tag{31}$$

then

$$\text{div}\sigma_0 = 0 \text{ on } \Omega_0 \setminus \Omega.$$

This proves that:

$$\nabla q = 0 \quad \text{on} \quad \Omega_0 \setminus \Omega.$$

We have, using (23),  $\Omega_0 \setminus \Omega$  is connex, and then:

$$q = C_1 \quad \text{on} \quad \Omega_0 \setminus \Omega \quad (C_1 \in \mathbb{R}),$$

so we can choose  $C_1 = 0$ .

Then, let  $\sigma \in L^2(\Omega_0, \mathbb{R}_{sym}^9)$  be defined by:

$$\sigma = \sigma_0 + qI; \tag{32}$$

we have

$$\sigma = 0 \quad \text{on} \quad \Omega_0 \setminus \Omega. \tag{33}$$

From (30), (32) and (33) we conclude the result. □

We can now prove the main theorem:

**Theorem 5.** *Under the hypotheses  $(H_1), (H_2), (H_3), (H_4)$  and assuming that  $\Omega$  satisfies (23), there exists  $\sigma_1 \in V_1$  such that*

$$F(\sigma_1) = \inf_{\eta \in V_1} F(\eta),$$

so the infimum of  $F$  is achieved on  $V_1$ .

*Proof.* Let  $(\eta_n)_{n \in \mathbb{N}}$  be a minimizing sequence of  $F$  on  $V_1$ ,  $\sigma_0$  given in the Remark 1.

Let  $\sigma_1 = \sigma/\Omega$  where  $\sigma$  is given by (29); we have:

$$\sigma_1 \in (L^2(\Omega))_s^9, \tag{34}$$

and:

$$\text{div} \sigma_1 = (\text{div} \sigma)/\Omega = 0 \quad \text{in} \quad \Omega.$$

So,

$$\text{div} \sigma_1 = 0 \quad \text{in} \quad \Omega. \tag{35}$$

Let us prove that  $\sigma_1.n = 0$  in  $\Gamma_1$ , it is equivalent to prove:

$$\int_{\Gamma_1} (\sigma_1.n)\phi d\Gamma = 0 \quad \forall \phi \in W^{1,2}(\Omega) \text{ be such that } \phi/(\partial\Omega \setminus \Gamma_1) = 0. \tag{36}$$

Then let  $\phi_1 \in W^{1,2}(\Omega_0 \setminus \Omega)$  be such that  $\phi_1/\Gamma_1 = \phi/\Gamma_1$ , and let  $\tilde{\phi}$  be defined by:

$$\tilde{\phi} = \begin{cases} \phi & \text{in } \Omega \\ \phi_1 & \text{in } \Omega_0 \setminus \Omega. \end{cases}$$

We have, due to the trace theorem for  $\tilde{\phi}$  on the two sides of  $\Gamma_1 = \partial\Omega \cap (\partial(\Omega_0 \setminus \Omega))$ :

$$\tilde{\phi} \in W^{1,2}(\Omega_0).$$

On the other hand, we have:

$$\int_{\Omega_0} \sigma.\varepsilon(\tilde{\phi})dx = \int_{\Omega} \sigma.\varepsilon(\phi)dx + \int_{\Omega_0 \setminus \Omega} \sigma.\varepsilon(\tilde{\phi})dx,$$

which implies, according to (33):

$$\begin{aligned} \int_{\Omega_0} \sigma.\varepsilon(\tilde{\phi})dx &= \int_{\Omega} \sigma.\varepsilon(\phi)dx \\ &= - \int_{\Omega} (\operatorname{div}\sigma).\phi dx + \int_{\partial\Omega} (\sigma_1.n)\phi d\Gamma. \end{aligned}$$

Using  $\operatorname{div}\sigma = 0$  in  $\Omega_0$ , we obtain:

$$\int_{\Omega_0} \sigma.\varepsilon(\tilde{\phi})dx = \int_{\partial\Omega} (\sigma_1.n)\phi d\Gamma.$$

This means according to (36) that:

$$\int_{\Omega_0} \sigma.\varepsilon(\tilde{\phi})dx = \int_{\Gamma_1} (\sigma_1.n)\phi d\Gamma. \tag{37}$$

We have on the other hand:

$$\int_{\Omega_0} \sigma.\varepsilon(\tilde{\phi})dx = \int_{\Omega_0} (\operatorname{div}\sigma).\tilde{\phi}dx + \int_{\partial\Omega_0} (\sigma.n)\tilde{\phi}d\Gamma.$$

So, using properties (29) and (36), we deduce that:

$$\int_{\Omega_0} \sigma.\varepsilon(\tilde{\phi})dx = 0. \tag{38}$$

From (37) and (38), we conclude that:

$$\int_{\Gamma_1} (\sigma_1.n)\phi d\Gamma = 0 \quad \forall \phi \in W^{1,2}(\Omega) \text{ such that } \phi/(\partial\Omega \setminus \Gamma_1) = 0.$$

This proves that:

$$\sigma_1.n = 0 \quad \text{on } \Gamma_1. \tag{39}$$

We conclude from (34), (35) and (39) that:

$$\sigma_1 \in V_1. \tag{40}$$

Using Proposition 2 and  $(\eta_n^D)_n$  converge to  $\sigma_0^D$  weakly in  $L^2(\Omega, \mathbb{R}^9_{sym})$ , we have:

$$F(\sigma_1) \leq \underline{\lim} F(\eta_n^D),$$

or also:

$$F(\sigma_1) \leq \inf_{\eta \in V_1} F(\eta).$$

We finally conclude from (40):

$$F(\sigma_1) = \inf_{\eta \in V_1} F(\eta). \quad \square$$

**Corollary 2.** *Under the hypotheses of Theorem 5 we have:*

*If  $\operatorname{Rot}f \neq 0$  in  $\Omega$  or (22) is satisfied by  $g$*

then

$$\exists \sigma_1 \in V_1 \text{ such that: } \bar{\lambda} = \frac{1}{\inf_{\eta \in V_1} F(\eta)} = \frac{1}{F(\sigma_1)}.$$

*Proof.* The result is deduced from Theorems 4 and 5. □

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